

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Letters	)	Group Art Unit: 3691
	)	
Patent No.: 7,499,881	)	Examiner: Lalita M. Hamilton
	)	
Granted: March 3, 2009	)	
	)	
Inventor: Mark A. Henninger	)	
	)	
Title: COMPENSATORY RATIO HEDGING	)	
	)	
Attorney Docket No.: 00-416	)	
		Peoria, Illinois 61629-9510

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322

Sir:

This is to request the issuance of a Certificate of Correction under 35 U.S.C. 254. Upon reviewing the above-identified patent, the Agent of Record noted errors, which should be corrected. These errors were found in the application as filed by applicants. All errors were the fault of USPTO. Please charge and/or credit any fees/overpayments to Deposit Account 03-1129.

Transmitted herewith is form PTO-1050 containing the text of the desired corrections.

Respectfully submitted,

Date: June 15, 2009

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Enc.

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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PATENT NO. : 7,499,881 B2  
APPLICATION NO.: 09/738,618  
ISSUE DATE : March 3, 2009  
INVENTOR(S) : Mark A. Henninger

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please correct the Specification as follows:

Column 9, line 52, delete "swap at" and insert - - swap - at - -.

Column 10, line 64, delete "ineffectivenessresults" and insert - - ineffectiveness results - -.

Please correct the Claims as follows:

Column 13, line 14, in claim 1, before "bond" insert - - said - -.

Column 13, lines 46-48, in claim 8, after "yield" delete "; and varying the amount of said bond being hedged by said swap by varying said compensatory hedge ratio over the plurality of periods of time".

Column 13, line 52, in claim 8, after "yield" delete "." and insert - - ; and varying the amount of said bond being hedged by said swap by varying said compensatory hedge ratio over the plurality of periods of time.  
- -.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

**Caterpillar Inc.**  
**Intellectual Property Dept.**  
**AH9510**  
**100 N.E. Adams Street**  
**PEORIA, IL 61629-9510**

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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